

PROCUREMENT PLAN

A. GENERAL

1. Project Information:

- Country: Lao PDR
- Borrower/Recipient: Lao PDR
- Project Name: Poverty Reduction Fund Project III - Additional Financing
- Project No.: P168620
- Project Implementation Agency: Ministry of Agriculture and Forestry, Poverty Reduction Fund

2. Date of the Procurement Plan:

- Original Plan: December 16th, 2019
- First revised: March 20th, 2020
- Second revised: November 16th, 2020
- Third revised: June 10th, 2021
- Third revised: September 1st, 2021
- Third revised: September 27th, 2021

3. Period covered by this Procurement Plan: **1 January 2020 to June 30th, 2024**

B. PREAMBLE

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in the Public Procurement Law No. 30/NA, dated 2 November 2017 and the Instruction on Implementation Public Procurement Law No. 0477/MOF, dated 13 February 2019, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

- a) The request for bids/request for proposals document shall require that bidders/proposers submitting bids/proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, Bank Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights;

- b) Information related to award of contract including reasons for rejection of unsuccessful bidders shall be published on the implementing agency websites and/or newspaper of wide circulation.
- c) All complaints on procurement related matters including complaints arising from procurement under Bank financed Projects whilst using National Procurement Procedures shall be dealt with in accordance with Article 33 of the Procurement Decree. Borrower shall put in place an effective complaint review mechanism for dealing with procurement related complaints, and shall disclose the details in all the bidding documents. All complaints shall be recorded by the Borrower in the appropriate tracking and monitoring system, as agreed between the Bank and the Borrower.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables. **Not Applicable.**

Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations - is allowed for those contracts identified in the Procurement Plan tables. **Not Applicable.**

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works).

- Goods: is applicable for those contracts identified in the Procurement Plan tables;
- Works: is applicable for those contracts identified in the Procurement Plan tables.

Sub-project Procurement Plan will be prepared separately each year and sent to the World Bank for information and reference. All activities under sub-projects will be subjected to the World Bank's post review and will not be posted on the STEP.

C. OTHER RELEVANT PROCUREMENT INFORMATION

I. Works and Goods and Non-Consultant Services

1.1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Annex II of the Procurement Regulations:

| Category | Procurement Method Thresholds | | Prior Review Thresholds | |
|---|-------------------------------|--|-----------------------------------|--|
| | Applicable thresholds (US\$) | Remarks | Applicable thresholds (US\$) | Remarks |
| <i>WORKS</i> | | | | |
| RFB through Open International market approach | ≥ 2.0 million | - | ≥ 20 million | This has not been foreseen at the project preparation stage. |
| RFB through Open National market approach | ≥ 200,000 - < 2.0 million | - | None | - |
| Request for Quotations | < 200,000 | - | None | - |
| Direct Selection | None | No threshold; meet requirements of regulations 7.13-7.15 | Same as for competitive selection | This has not been foreseen at the project preparation stage. |
| <i>GOODS AND NON-CONSULTING SERVICES</i> | | | | |
| RFB through Open International market approach | ≥ 0.6 million | - | ≥ 6 million | This has not been foreseen at the project preparation stage. |

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|---|---------------------------|--|-----------------------------------|--|
| RFB through Open National market approach | ≥ 100,000 - < 0.6 million | - | None | Risk-based approach |
| Request for Quotations | < 100,000 | - | None | |
| Direct Selection | None | No threshold; meet requirements of regulations 7.13-7.15 | Same as for competitive selection | This has not been foreseen at the project preparation stage. |

1.2. Procurement packages for Works, Goods and Non-Consulting Services (prior and post reviews) with method and Time Schedule

| Ref. No. | Contract (Description) | Estimated Cost (US\$) | Procurement Method | Review by Bank | Expected Contract Signing Date | Comments |
|------------------------|--|-----------------------|--------------------|----------------|--------------------------------|-----------|
| G-001 | Sub project IEC Materials | | | | | Cancelled |
| G-002 | Environmental and Social Safeguards | 2,400 | RFQ | Post | Jan-2020 | |
| G-003 | Office IT Equipment | 66,000 | RFQ | Post | Apr-2020 | |
| G-004 | Motorbikes | 97,500 | RFQ | Post | Jan-2022 | |
| G-005 | Record Books | 43,525 | RFQ | Post | Apr-2020 | |
| G-006 | Floor Mats, Boxes | 52,255 | RFQ | Post | Dec-2021 | |
| G-007 | Produce Posters, Leaflets, etc... | 23,088 | RFQ | Post | Apr-2020 | |
| G-008 | Mills, Plastic Boxes | 23,850 | RFQ | Post | Apr-2020 | |
| G-009 | Cooking Sets | 52,470 | RFQ | Post | May-2020 | |
| G-010 | Stoves | 5,000 | DS | Post | Dec-2021 | |
| G-011 | Pellet Machines and Driers | 20,000 | DS | Post | Dec-2021 | |
| G-012 | Pellets | 5,824 | DS | Post | Dec-2021 | |
| G-013 | Stove Top up | 500 | DS | Post | Dec-2021 | |
| G-014 | Cooking Book | 24,310 | RFQ | Post | Dec-2021 | |
| G-015 | Agricultural Seasonal Calendar and Crop Sheets | 4,770 | RFQ | Post | Aug-2020 | |
| G-016 | Microphones, Phone Projectors, Speakers | 95,400 | RFQ | Post | May-2020 | |
| G.019 | Pick up | 78,000 | RFQ | Post | July-2021 | |
| G.020 | Environmental and Social Safeguards | 2,400 | RFQ | Post | Mar-2022 | |
| G.021 | Office IT Equipment | 66,000 | RFQ | Post | Apr-2022 | |
| G.022 | Record Books for Livelihood (L) | 38,278 | RFQ | Post | Apr-2022 | |
| G.024 | Produce Posters, Leaflets for L | 15,392 | RFQ | Post | May-2022 | |
| G.027 | Pick up | 78,000 | RFQ | Post | July-2022 | |
| NC-001 | Buffalo Dairy | 55,000 | DS | Post | Dec-2021 | |
| NC-002 | Multi-media Peer Learning | 160,000 | DS | Post | Jun-2021 | |
| NC-003 | Home Nutrition Garden | 186,000 | DS | Post | Jun-2021 | |
| Total 1 (US\$): | | 1,195,962 | | | | |

Notes:

- RFQ: Request for Quotations
- RFB: Request for Bids
- DS: Direct Selection

2.1 Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Annex II of the Procurement Regulations:

| Category | Procurement Method Thresholds | | Prior Review Thresholds | |
|--|-------------------------------|--|------------------------------|---------------------|
| | Applicable thresholds (US\$) | Remarks | Applicable thresholds (US\$) | Remarks |
| QCBS, QBS, FBS, LCS (Using the most appropriate market approach) | ≥ 300,000 | Shortlist of only National Consultants (Firms) for contract agreed in the PP | ≥ 4.0 million | Risk-based approach |

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|---|-------------------------------------|---|---|---------------------|
| CQS (Open or limited competition through national or international market approach, specified in the PP and agreed with the Bank. | < 300,000 | QCBS, QBS, FBS, LCS can be applied for contracts with value under \$300,000 | None | |
| Direct Selection of firms | None | No threshold; meet requirements of regulations 7.13-7.15 | Same as for competitive selection | |
| Individual Consultants | N/A depending on nature of services | Essential individual assignments will be defined in the Procurement Plan agreed with the Bank and in line with regulations 7.34-7.39 using the most appropriate market approach | ≥ 500,000 and for the positions as specified in the PP agreed with the Bank | Risk-based approach |

2.2 Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US\$ 200,000 equivalents per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 7.1, Section VII of the Procurement Regulations.

2.3 Consultancy Assignments with Selection Methods and Time Schedule

| Ref. No. | Description of Assignment | Estimated Cost (US\$) | Selection Method | Review by Bank | Expected Proposals Submission Date | Comments |
|------------------------|---------------------------------------|---|------------------|----------------|------------------------------------|----------|
| C.001 | Executive Director | Based on the previous service monthly rate fees and experience that will be the salary determinant, 2,833,970 | DS | Post | Aug-2021 | |
| C.002 | Deputy Director | | IC | Post | Sept-2021 | |
| C.003 | TA Specialist | | DS | Post | Jan-2020 | |
| C.004 | TA Assistant | | IC | Post | Jan-2020 | |
| C.005 | Monitoring & Evaluation Specialist | | DS | Post | Jan-2020 | |
| C.006 | MIS Officer | | IC | Post | Jan-2020 | |
| C.007 | Reporting and Evaluation Officer | | IC | Post | Jan-2020 | |
| C.008 | Finance and Administration Specialist | | DS | Post | Jan-2020 | |
| C.009 | FM Supervision Officer | | DS | Post | Jan-2020 | |
| C.010 | Accountant Officer | | DS | Post | Jan-2020 | |
| C.011 | Accounting Package Officer | | DS | Post | Jan-2020 | |
| C.012 | Cashier | | DS | Post | Jan-2020 | |
| C.013 | Procurement Officer | | DS | Post | Jan-2020 | |
| C.014 | Procurement Assistant | | IC | Post | Jan-2020 | |
| C.015 | IT Officer | | DS | Post | Jan-2020 | |
| C.016 | Administration Assistant | | IC | Post | Jan-2020 | |
| C.017 | Nutrition & Community Dev. Specialist | | IC | Post | Jan-2020 | |
| C.018 | Capacity Development Officer | | IC | Post | May-2021 | |
| C.019 | Agricultural & Livelihood Specialist | | DS | Post | Jan-2020 | |
| C.020 | Micro-Finance Officer | | IC | Post | May-2021 | |
| C.021 | Micro-Finance Assistant | | IC | Post | Jan-2020 | |
| C.022 | Agricultural & Livestock Officer | | IC | Post | Jan-2020 | |
| C.023 | Senior Nutrition Officer | | IC | Post | Jan-2020 | |
| C.031 | External Financial Audit for 5 years | 40,000 | DS | Post | Jul-2021 | |
| C.032 | Upgrade the Online MIS System | 15,000 | DS | Post | Jul-2021 | |
| Total 2 (US\$): | | 2,888,970 | | | | |

Notes:

- CQS: Consultant's Qualifications Based Selection;
- IC: Individual Consultant;
- DS: Direct Selection

Annex 1: List of packages that are not entered into STEP

1. Goods and Works that are implemented at the province, district, and village.

| Ref. No. | Contract (Description) | Estimated Cost (US\$) | Procurement Method | Review by Bank | Expected Contract Signing Date | Comments |
|------------------------|--------------------------------------|-----------------------|--------------------|----------------|--------------------------------|--|
| G-017 | Shade house Materials | | | | | Cancelled, to be implemented by Village Team |
| G-018 | Seeds | | | | | |
| G-025 | Mills | | | | | |
| G-026 | Cooking Sets | | | | | |
| G-023 | Floor Mats, Boxes for Livelihood | | | | | |
| W-001 | Districts Offices Maintenance/Repair | 16,000 | RFQ | Post | Dec-2020 | Multiple Contracts |
| W-002 | Districts Offices Maintenance/Repair | 16,000 | RFQ | Post | Dec-2022 | Multiple Contracts |
| Total 3 (US\$): | | 32,000 | | | | |

2. Consulting services that are conduct at the province and district.

| Ref .No. | Description of Assignment | Estimated Cost (US\$) | Selection Method | Review by Bank | Expected Proposals Submission Date | Comments |
|------------------------|---|-----------------------|------------------|----------------|------------------------------------|----------|
| C.024 | 4 Provincial Coordinator Assistants | 165,509 | IC | Post | Jan-2020 | |
| C.025 | 10 District Coordinators | 422,404 | IC | Post | Jan-2020 | |
| C.026 | 10 District Nutrition Officers | 310,329 | IC | Post | Jan-2020 | |
| C.027 | 10 District Engineers / Procurement Assistant | 310329 | IC | Post | Jan-2020 | |
| C.028 | 10 District FA / Micro-finance Officers | 352,004 | IC | Post | Jan-2020 | |
| C.029 | 85 Young Graduates (Livelihood) | 1,176,000 | IC | Post | Jan-2020 | |
| C.030 | 45 Young Graduates (Nutrition) | 756,000 | IC | Post | Jan-2020 | |
| Total 4 (US\$): | | 3,492,575 | | | | |

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| Grand Total (1+2+3+4) (US\$): | 7,609,507 |
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